

Equity and Option Commission Schedule

EQUITIES			
Money Invested	Commission %		
\$0 - \$4,999.99	3.00%		
\$5,000.00 - \$9,999.99	2.60%		
\$10,000.00-\$19,999.99	2.20%		
\$20,000.00-\$29,999.99	2.00%		
\$30,000.00-\$39,999.99	1.80%		
\$40,000.00-\$49,999.99	1.60%		
\$50,000.00-\$59,999.99	1.50%		
Greater than \$59,999.99	1.20%		

Options Priced \$1.00 and Higher

Equities

SINGLE OPTIONS				
Money Invested	\$100 - 2,499	\$2,500 - 4,999	Greater than \$5,000	
	1.75% of M.I. + \$18	1.25% of M.I. + \$30	\$95	

Minimum \$50 or 35% of M.I.

MULTIPLE OPTIONS					
Money Invested	\$100 - 2,499	\$2,500 - 4,999	\$5,000 - 19,999	\$20,000 - 59,999	Greater than \$60,000
	1.75% of M.I. plus \$18*	1.25% of M.I. plus \$30*	1.30% of M.I. plus \$30*	.80% of M.I. plus \$120*	.55% of M.I. plus \$275*

*Plus \$8 per option for first through tenth option, \$5 per option thereafter

Options Priced Less than \$1.00

SINGLE OR MULTIPLE OPTIONS			
Money Invested	\$0.01 - 300	\$300 & Over	
	\$2.00 per option plus 12% of M.I.	\$2.00 per option plus 7% of M.I.	

Minimum lesser of \$40 or 35% of M.I.

Maximum 35% of M.I.